

# Lecture 10: Recurrent networks

CS 182/282A (“Deep Learning”)

2022/02/23

# Today's lecture

- The bulk of today's lecture will cover a class of models known as **recurrent neural networks (RNNs)**, which were designed to process sequential data
- However, we will first wrap up our discussion of image data with one final cool application: **style transfer**
- Together, this material should be sufficient for working on HW2
- HW2 also covers some *network visualization* topics that we won't discuss in lecture, though these topics are well explained by the assignment itself

# Style transfer

(some images borrowed from Stanford CS231n)

(some images borrowed from the original paper, Gatys et al 2016)

# Generating images from CNNs

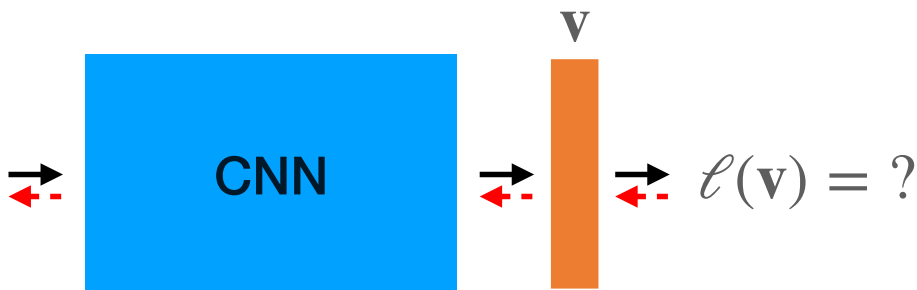
- Suppose you have a CNN trained to do image classification, and you wish to use the CNN to do image *generation* instead
  - This may serve a number of purposes, e.g., inspecting the model to better understand it, or just to have pretty/weird pictures to look at
- One general way to do this is to perform generation by optimization
  - Define a loss function that quantifies what image we wish to generate
  - Keep the network parameters fixed! I.e., **freeze** the network weights
  - Backpropagate the loss *to the input image* in order to update it

# Style transfer, illustrated

“style” image



“content” image

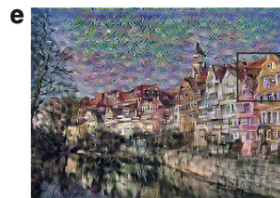


- We will actually use a “two-dimensional”  $\mathbf{v}$  which is the output of an intermediate conv layer
- The first dimension is channels, the second dimension is height and width combined

# The content of an image

- If we have a content image and we only wish to generate an image with the same content from our CNN, how might we do this?
- The idea is to define a loss function that measures the difference between intermediate CNN activations when inputting the generated vs. content image

- $\ell_c(\mathbf{v}) = \frac{1}{2} \sum_{ij} (v_{ij} - c_{ij})^2$ , where  $\mathbf{c}$  represents the activations from inputting the content image

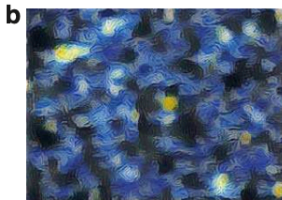
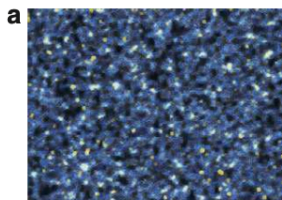


# The style of an image?

- To quantify style or “texture”, we compute correlations between the different channels of  $\mathbf{v}$  via the *Gram matrix*  $\mathbf{G}$ 
  - $\mathbf{G}_{ij} = \sum_k v_{ik} v_{jk}$  — like an unnormalized covariance estimate
- Surprising, but true: matching this Gram matrix matches styles, roughly speaking
- $\ell_s(\mathbf{v}) \propto \sum_{ij} (\mathbf{G}_{ij} - \mathbf{G}_{ij}^s)^2$ , where  $\mathbf{G}^s$  represents the Gram matrix computed from the activations resulting from inputting the style image

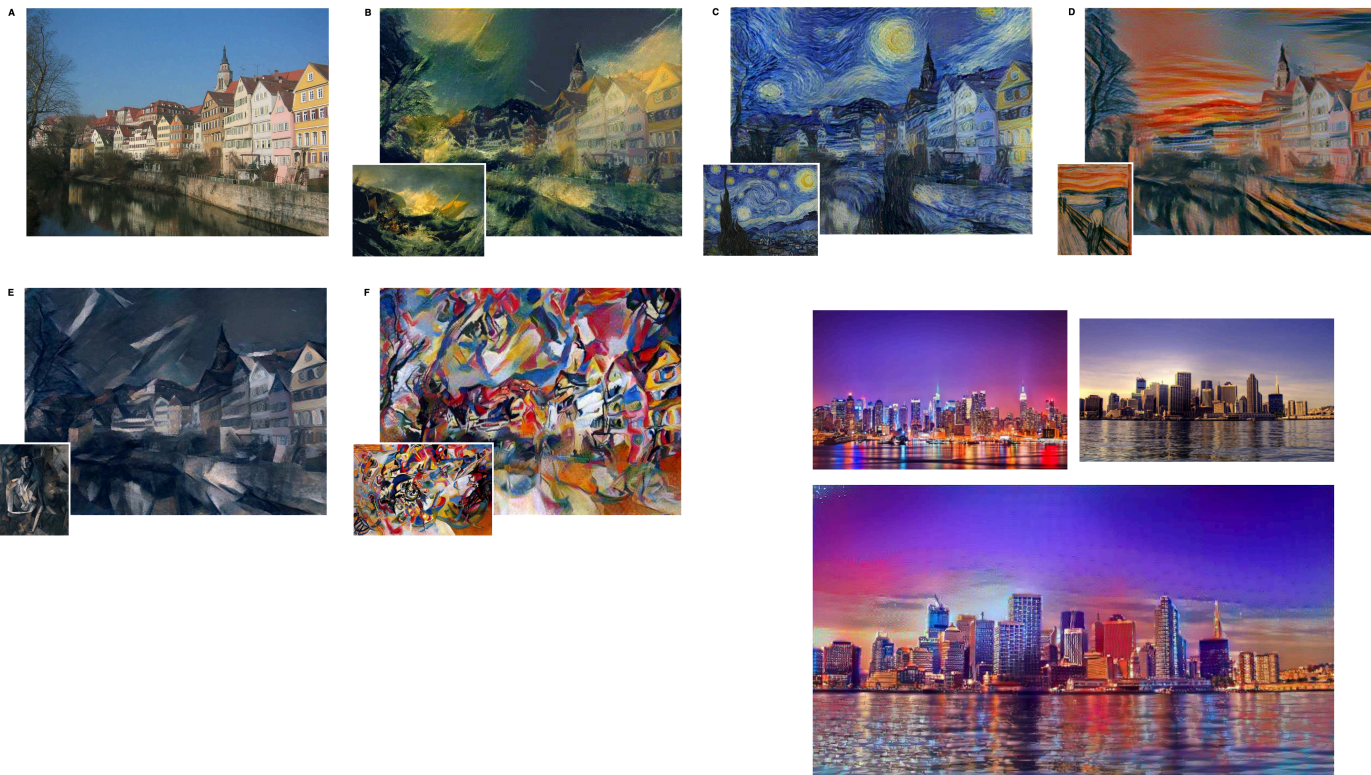
# The style of an image?

- Different activations of the network can capture different “levels of abstraction” for the style of the image
- Therefore, unlike content matching, the style loss component operates on *multiple intermediate activations* of the CNN with different weights
- The final loss function is  $\ell = \alpha \ell_c + \beta \ell_s$  — the authors use  $\alpha/\beta = 10^{-3}$



# Merging content with style

Try it yourself: <https://deepart.io/>



# Recurrent neural networks

# Problem setup

- We now consider settings in which our features  $\mathbf{x}$  represent *sequential data* which may be *variable length*

It was the best of  
times, it was the worst  
of times, it was the age  
of wisdom, it was the  
age of foolishness...



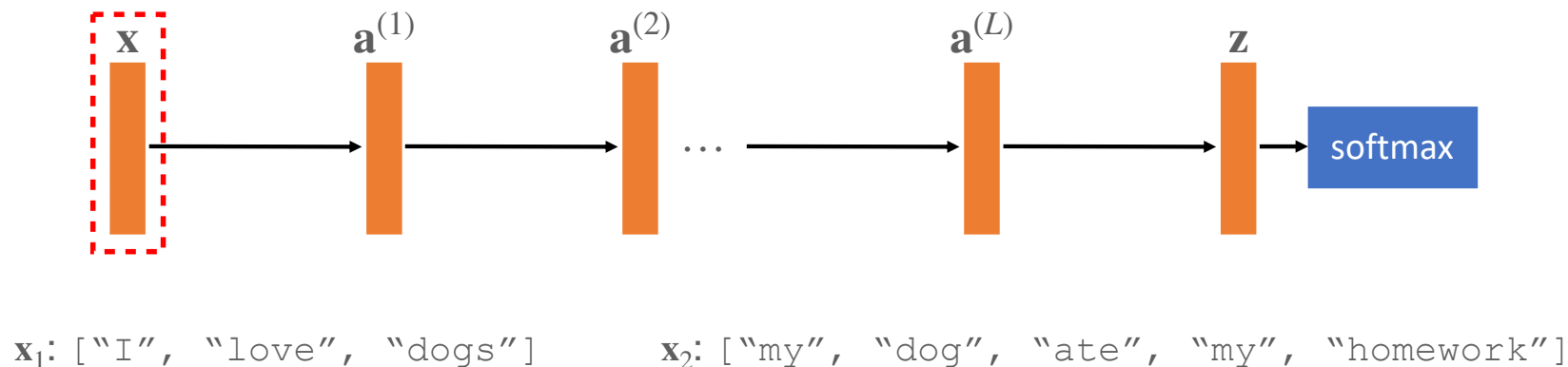
- Our labels could be scalars  $y$ , e.g., sentiment analysis, identification, ...
- Or the labels could be sequences  $\mathbf{y}$ ! E.g., translation, transcription, captioning, ...
- Or there could be no label at all! I.e., **unsupervised learning / generative modeling**

# Models for sequential data

- Markov / n-gram models, hidden Markov models (HMMs)
- Embedding / clustering based methods
- Convolutions (sometimes called “temporal” convolutions)
- Recurrent neural networks (RNNs) — today
  - Long short-term memory (LSTMs), gated recurrent units (GRUs)
- Transformers — in a couple of weeks

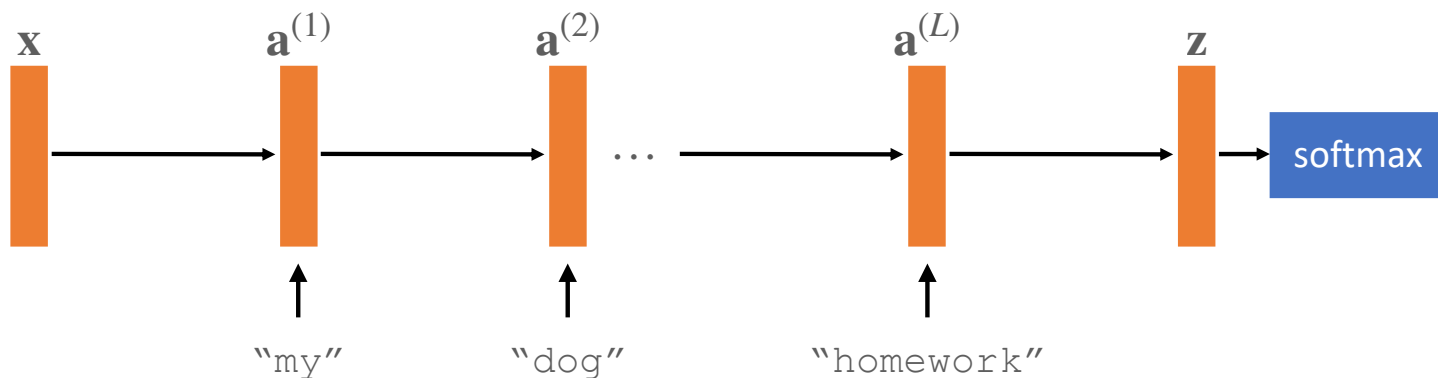
# Dealing with variable size (length) inputs

- Before, when dealing with images, we could reasonably assume fixed size inputs
- Now, with sequential data, it is often the case that input lengths vary



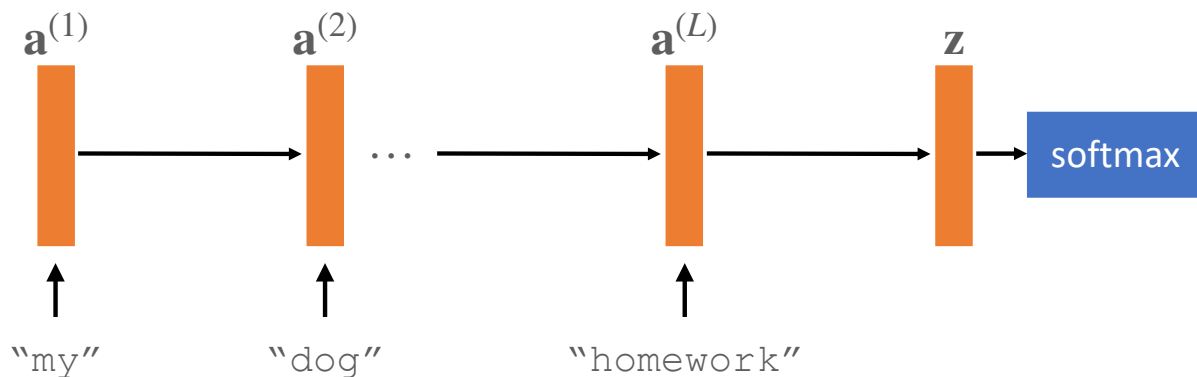
# One input piece per layer?

- An idea: let's feed in one piece of the input (sometimes called a **token**) per layer
- The input to layer  $l + 1$  is now  $[\mathbf{a}^{(l)}; \mathbf{x}[l]]$



# Recurrent networks: attempt #1

What are some problems with this approach?



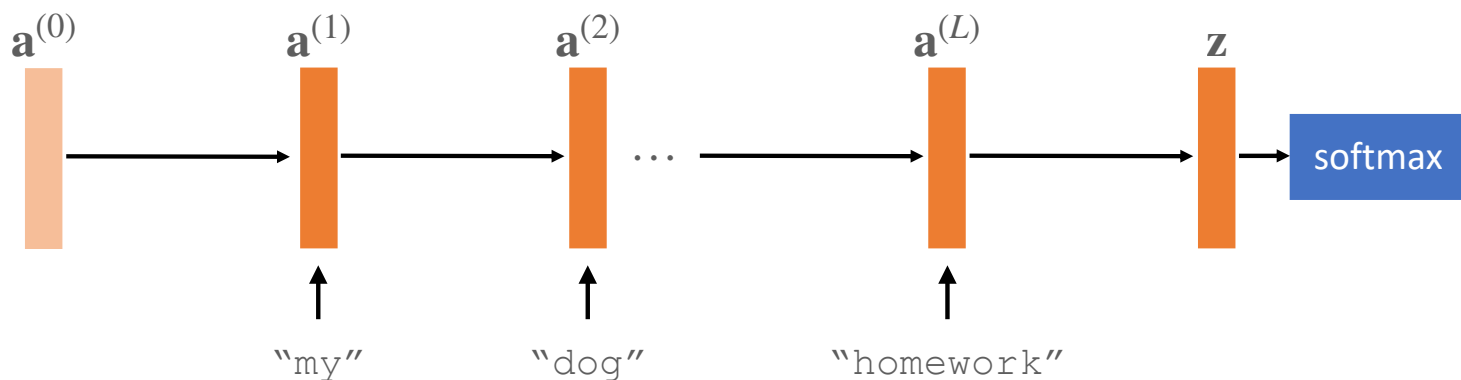
- Problem #1: we need as many layers as the max number of tokens
  - Later layers hardly get trained, and we can't generalize to longer sequences
- Problem #2:  $\mathbf{a}^{(1)}$  is missing the "previous layer output"

# Weight sharing

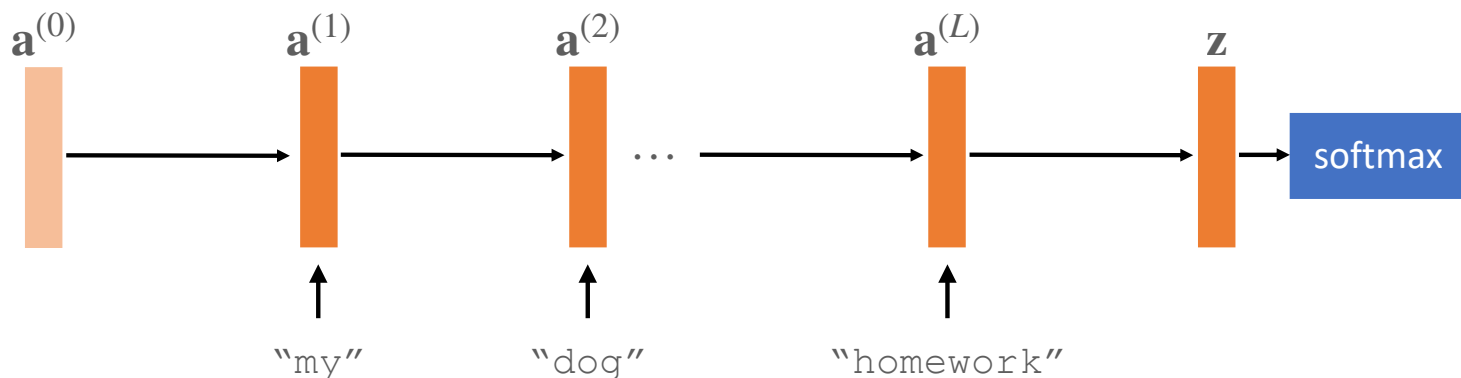
- Problem #1: we need as many layers as the max number of tokens
  - Later layers hardly get trained, and we can't generalize to longer sequences
- Solution: use the same parameters (weights) in every layer
  - This is an example of *weight sharing*
- Before:  $\mathbf{a}^{(l+1)} = \sigma \left( \mathbf{W}^{(l+1)} \left[ \mathbf{a}^{(l)}; \mathbf{x}[l] \right] + \mathbf{b}^{(l+1)} \right)$
- Now:  $\mathbf{a}^{(l+1)} = \sigma \left( \mathbf{W} \left[ \mathbf{a}^{(l)}; \mathbf{x}[l] \right] + \mathbf{b} \right)$  for all  $l$

# RNNs: the first input

- Problem #2:  $\mathbf{a}^{(1)}$  is missing the “previous layer output”
- Solution: initialize some  $\mathbf{a}^{(0)}$  independently from the input  $\mathbf{x}$  to feed into  $\mathbf{a}^{(1)}$

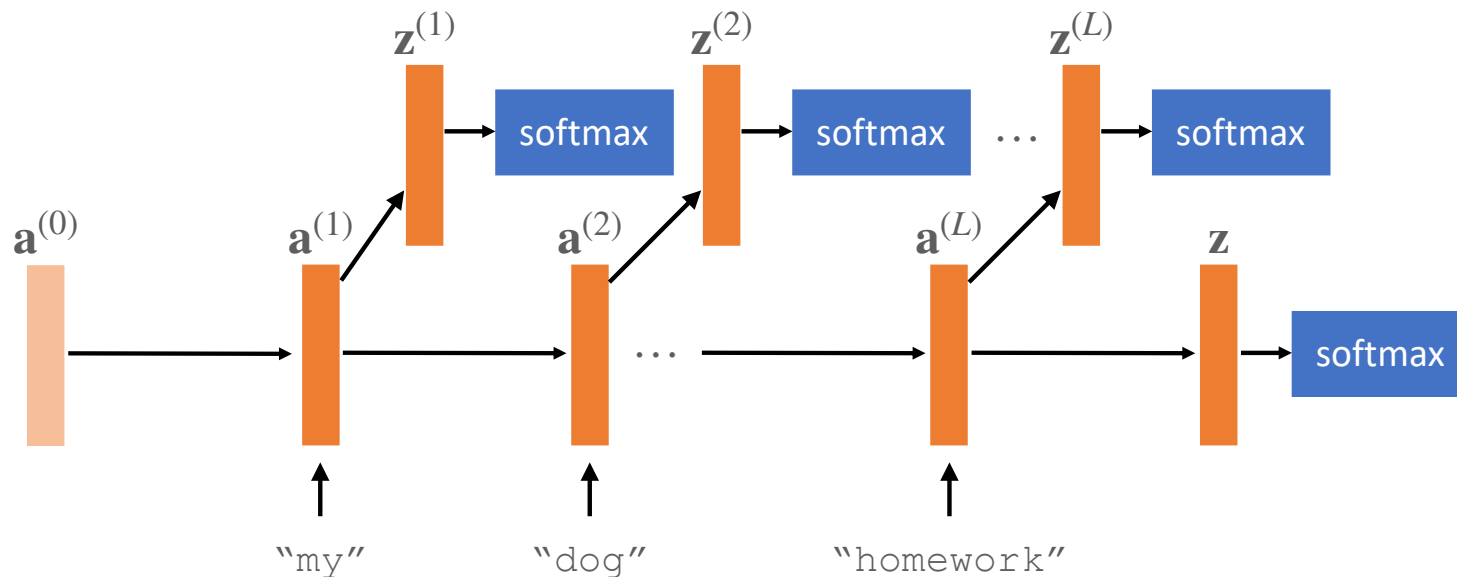


# Recurrent networks: attempt #2



- Important, and not visualized here:  $\mathbf{a}^{(l+1)} = \sigma(\mathbf{W} [\mathbf{a}^{(l)}; \mathbf{x}[l]] + \mathbf{b})$  for all  $l$
- In many applications, we think of each  $l$  as a “time step” (denoted  $t$  instead) and each  $\mathbf{a}^{(l)}$  as the “state” (or *hidden state*) at time step  $l$  (denoted  $\mathbf{h}^{(t)}$  instead)

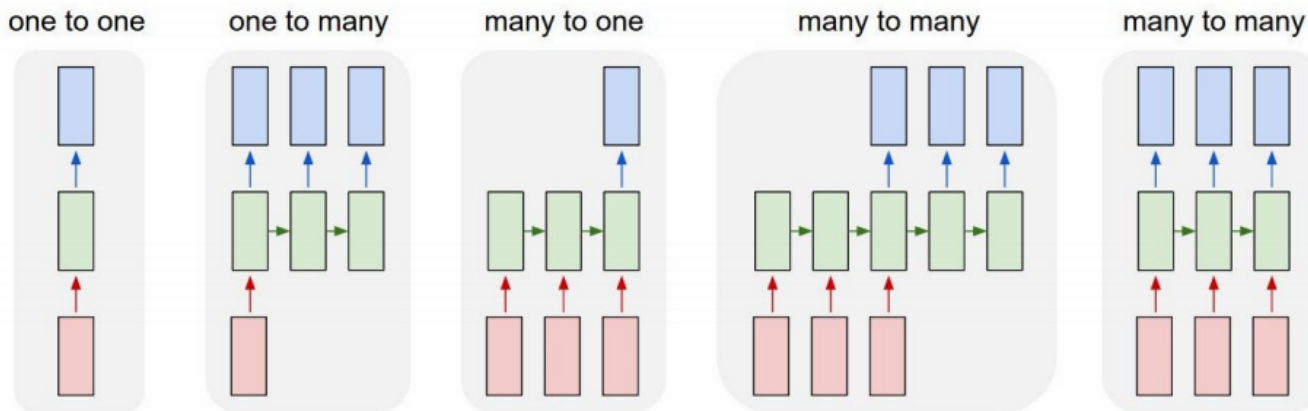
# Sequential outputs



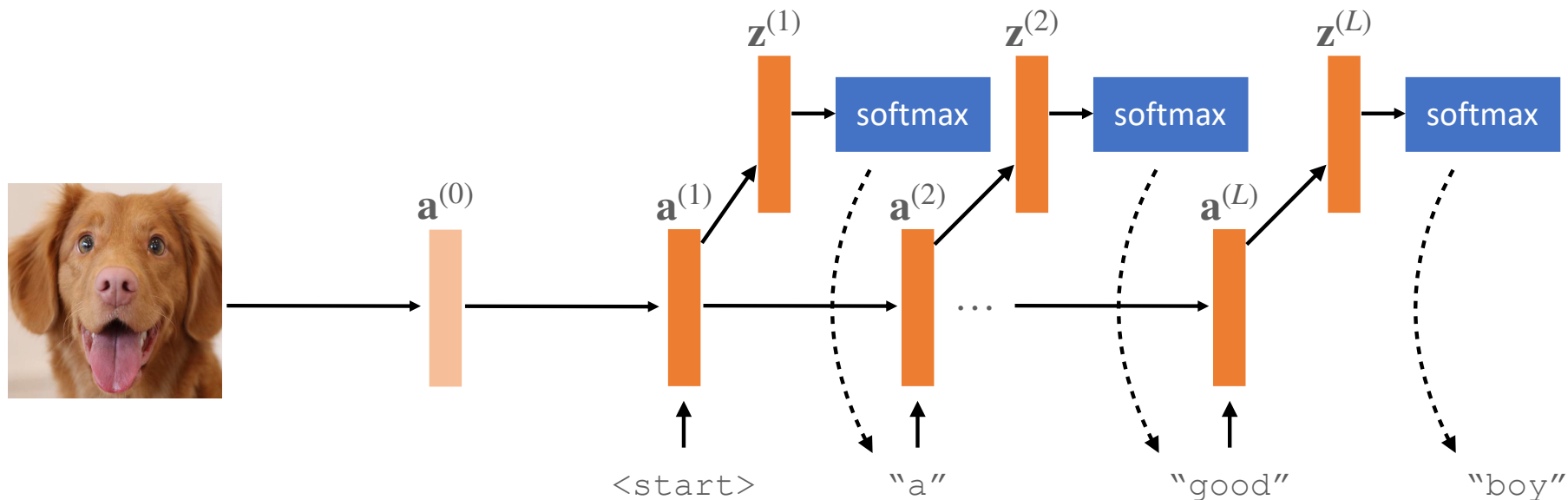
- This is what our RNN will look like for “sequence input, single output”
  - What about sequence output? Just have an output at every layer

# Different combinations of (non)sequential data

- Different applications will give rise to different ways in which we use RNNs
- Match the following applications to the diagrams below that they correspond to: image captioning, text sentiment analysis, language translation, text generation



# Generating outputs from RNNs

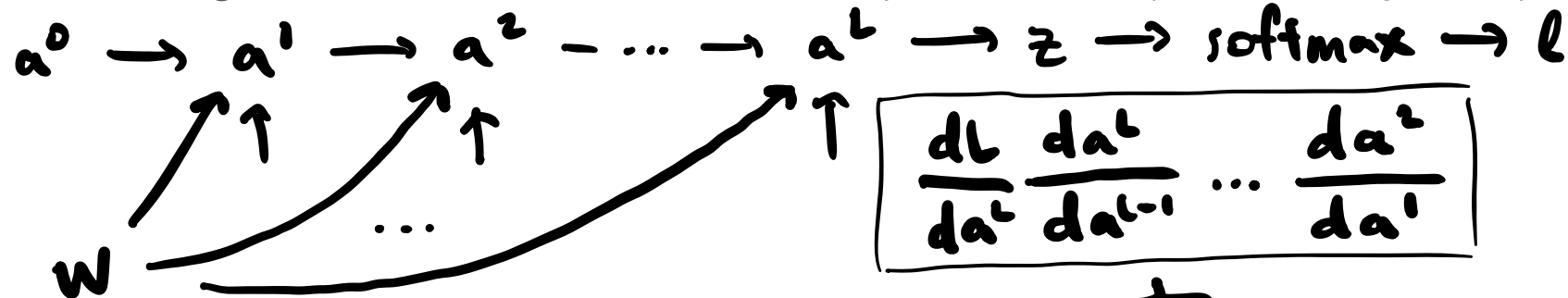


- Generating a sequential output from an RNN, e.g., to caption an input image, is done in an **autoregressive** manner
  - This makes it possible for the RNN to condition on what it has already generated

# The problem with training RNNs



what is the gradient of the final loss with respect to  $\mathbf{W}$ ? (similar story for  $\mathbf{b}$ )



$$\boxed{\frac{d\ell}{da^L} \frac{da^L}{da^{L-1}} \dots \frac{da^2}{da^1}}$$

$$\frac{d\ell}{dW} = \frac{d\ell}{da^L} \frac{da^L}{dW} + \frac{d\ell}{da^{L-1}} \frac{da^{L-1}}{dW} + \dots + \frac{d\ell}{da^1} \frac{da^1}{dW}$$

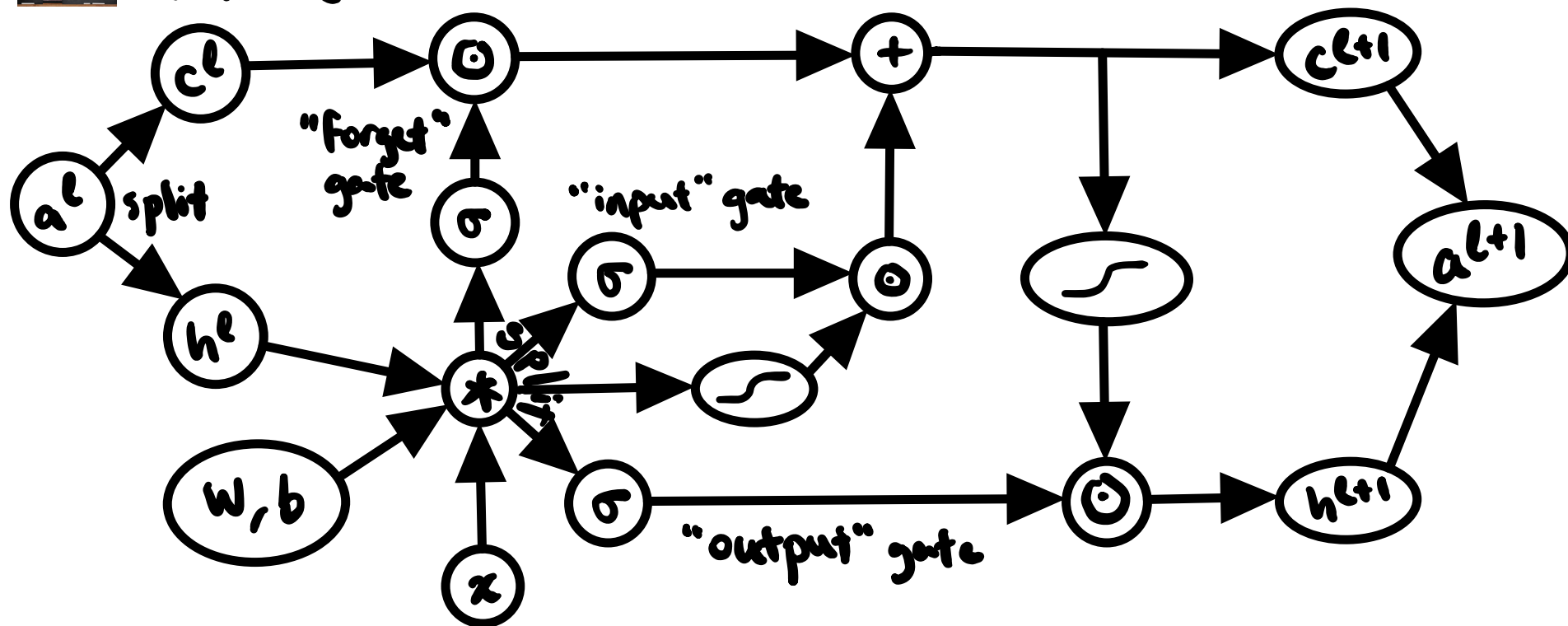
very easy for this term to be too small / large!

# Fixing exploding and vanishing gradients

- We want to avoid gradients that **explode** or **vanish** as they travel backwards through the network
  - Exploding gradients are an easier problem: we can just **clip** the gradients
  - Vanishing gradients seem to require clever architecture choices
- We have already seen the basic idea behind fixing this issue: skip connections!
- Let's detail one RNN architecture that employs the same basic principle
  - It's not quite skip connections, but the intuition is similar — this architecture, known as the **LSTM**, far precedes the modern popularity of skip connections

# Long short-term memory (LSTM)

 ( $d_h = d_c$ )



( $W$  is  $4d_h \times (d_h + d_x)$ ,  $b$  is  $4d_h$ )

# Bidirectional RNN models

- Often, it can be useful to incorporate information from “the future”, if available
  - E.g., speech transcription, *contextual* word representations, ...
- For these applications, one option is to essentially learn two RNNs! One which processes the sequence forwards, and the other which processes in reverse
  - But, the RNNs are learned jointly to produce a single prediction/representation
- For a while, bidirectional LSTMs were the best model for learning language representations that could be fine tuned for a variety of downstream tasks
  - Nowadays, the best model is the transformer — stay tuned for that